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BAIRE SPACES AND WEAK TOPOLOGIES GENERATED BY GAP AND EXCESS FUNCTIONALS

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ABSTRACT. Let (X,d) be a separable Baire metric space or a completely metrizable space. It is shown that the family CL(X) of all nonempty closed subsets of X endowed with the finite Hausdorff topology is a Baire space. Baireness of other weak topologies on CL(X) generated by gap and excess functionals is also investigated.

Introduction

Hyperspace topologies, i.e. topologies on the nonempty closed subsets CL(X) of a topological space X has been studied from the beginning of our century. Historically there have been two topologies of particular importance: the Hausdorff metric topology and the Vietoris topology. The Hausdorff metric topology is probably the best-studied hyperspace topology owing to its applicability to various areas of mathematics (see [Be1; p. 308]). The Vietoris topology τ_V first appeared explicitly in [Vi], and then it has been very thoroughly studied through the century.

A fundamental article on the above topologies is Michael's paper [Mi], which set the agenda for study of hyperspaces for the next 30 years. Basic topological properties, such as separation axioms, countability axioms, compactness, connectedness, metrizability of the Vietoris topology are due to Michael, but other interesting results can be found in [Ke], [Ve] on normality of τ_V , in [Mc] on the Baireness of τ_V , in the book [Ku] (a very full discussion of τ_V for a metric base space, where it coincides with the Hausdorff metric topology) or more recently in [KT] and [Be1].

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In their usual presentations, the Hausdorff metric topology is an example of a functional space topology, whereas the Vietoris topology is an example of a hit-and-miss topology. In general, a particular hyperspace topology will fit into at least one of these (overlapping) categories.

A hit-and-miss hyperspace topology has a subbase that can be split into two parts ([Be1]). One kind of subbasic open sets consist of all closed sets that hit an open set $U \subset X$ — it is of the form $U^- = \{A \in CL(X) : A \cap U \neq \emptyset\}$; whereas the other kind of subbasic open set consists of all closed sets that avoid a particular kind of closed sets — it is of the form $(B^c)^+ = \{A \in CL(X) : A \cap B = \emptyset\}$, where B ranges over a given family $\Delta \subset CL(X)$.

Beside the Vietoris topology (which is the hit-and-miss topology with $\Delta = CL(X)$), there is one more topology of this kind, the so-called *Fell topology* or topology of closed convergence τ_F , which has been given a considerable attention; it is the hit-and-miss topology with $\Delta =$ closed compact subsets of X. The Fell topology was introduced by Fell in [Fe] and has a remarkable property: τ_F is always compact on $CL(X) \cup \{\emptyset\}$, regardless of the base space X. This compactness property makes the Fell topology highly useful in various applications ([At], [No]). For further results on this topology see e.g. [KT], [Be1] and more recently [HLP] on normality of τ_F .

The general study of hyperspaces of the hit-and-miss type seems to have only been undertaken in the seminal papers of P oppe [Po1-2]. The so-called *proximal hit-and-miss topology* or *hit-and-far topology* ([Be1]) is related to the hitand-miss one; it was formulated by N a impally and his collaborators ([BLLN], [DNS]) and was studied in full generality by Beer and T amaki in [BT1-2] (see also [Be1], [DH], [Zs1]). This general approach of (proximal) hit-and-miss topologies is however exceptional, since the recent research for the most part has been descriptive of individual new topologies that arise in applications (e.g. the slice topology, the linear topology, the ball (proximal) topology — see [Be1] for a survey).

Another way of constructing hyperspace topologies is to define them as weak topologies, i.e. define them as the weakest topology on the hyperspace that makes certain set functionals (such as e.g. the distance, gap or excess functionals) continuous with respect to this topology. Probably the most important hyperspace topology of this sort, the Wijsman topology τ_W , is the weak topology on CL(X) generated by the distance functionals viewed as functionals of set argument. It was introduced by W i j s m an [Wi] in the context of convex analysis, and then studied in abstract by a number of authors ([FLL], [LL], [He], [BLLN], [Be1-2]).

A general program aimed at the characterization of topologies in terms of the continuity of set functionals was carried out by Beer and his collaborators over the last decade ([BLLN], [BL], [Be1]). The interest for these weak topologies arises among others from the fact that under certain natural conditions on the

BAIRE SPACES AND WEAK HYPERSPACE TOPOLOGIES

base space and on the generating family of set functionals they are measurably compatible, i.e. their Borel field is the Effros σ -algebra generated by $\{U^- : U \text{ open in } X\}$. This, in turn, allows us to express multifunction measurability as ordinary measurability of an associated single-valued function (see [Be2], [Be1; Chapter 6]).

As for the recent research in the above mentioned fields, it has focused e.g. on comparison of diverse hyperspace topologies ([DN], [HLu1], [CLZ]), on expressing hypertopologies as weak topologies ([BLLN], [BL], [Be2]) or as suprema or infima of (parts) of the Wijsman, Hausdorff, the Vietoris and other important topologies ([BLLN], [CLP], [LLP]). This latter research exposed the fundamental role of the Wijsman topology in the construction of the lattice of hyperspace topologies.

Until recently there has been given a little attention to the general approach to (proximal) hit-and-miss topologies. In [BT1-2] several basic results e.g. on uniformizability and the mutual coincidence of hit-and-miss resp. hit-and-far topologies was settled, further in [DH], [HLe] other basic properties were established (related results can be found in [Zs3-4]).

Another interesting problem was to find Polish (i.e. separable completely metrizable) hyperspace topologies. The answer was found by Beer and Costantin in [Be3], [Co1] (see also [Zs2] for a short proof), calling attention again to the Wijsman topology; namely, it was shown that the Wijsman topology is Polish provided the base space is Polish. More recently Holá and Lucchetti [HLu2] have found other Polish weak topologies.

A natural question in this connection is to ask when these topologies give rise to Baire hyperspaces, i.e. to hyperspaces where any countable intersection of dense open sets is dense. It is the purpose of this paper to answer this question. We shall make use of results of [Zs1], where the above problem is settled for the hit-and-miss and proximal hit-and-miss topologies as well as for the Wijsman topology. The relevant result for the Wijsman topology claims, that if X is a completely metrizable space or a separable Baire space, then $(CL(X), \tau_W)$ is a Baire space. It is worth mentioning in this respect the result of C o st a n t i n i [Co2], who has constructed a completely metrizable space with non-Čech-complete hyperspace endowed with the Wijsman topology.

It turns out that the method of establishing the Baireness of the Wijsman topology can be used for our immediate purposes, too. More precisely, we shall define an auxiliary proximal hit-and-miss topology the Baireness of which is inferable from our previous results in [Zs1] and which is a "Baire topology" if and only if the investigated weak topology has the same property.

Preliminaries

In what follows (X, d) will be a metric space. The symbols CL(X), K(X), F(X) will stand for the family of all nonempty closed, compact and finite subsets of X, respectively. The open (resp. closed) ball about x of radius ε will be denoted by $S(x, \varepsilon)$ (resp. $B(x, \varepsilon)$). The *distance* of x from a nonempty set A is defined as

$$d(x,A) = \inf \left\{ d(x,a) \, ; \ a \in A \right\}$$

The open (resp. closed) ε -enlargement of A is the set

$$S(A,\varepsilon) = \left\{ x \in X \; ; \; d(x,A) < \varepsilon \right\} \qquad \left(B(A,\varepsilon) = \left\{ x \in X \; ; \; d(x,A) \le \varepsilon \right\} \right).$$

We shall frequently use the fact that

$$S(S(A, \alpha), \beta) \subset S(B(A, \alpha), \beta) \subset S(A, \alpha + \beta)$$

for every nonempty $A \subset X$ and all $\alpha, \beta > 0$. Given two nonempty sets A, B we define the gap between them as

$$D(A,B) = \inf \{ d(a,b) ; \ a \in A, \ b \in B \}$$

and the *excess* of A over B as

$$e(A,B) = \sup \left\{ d(a,B); \ a \in A \right\}$$

and, finally, the Hausdorff distance between A and B as

$$H(A,B) = \max\{e(A,B), e(B,A)\}.$$

It is well-known that

$$|D(A,B) - D(A,C)| \le H(B,C)$$
 and $|e(A,B) - e(A,C)| \le H(B,C)$

for every $A, B, C \in CL(X)$ ([Be1; Proposition 3.2.5]).

Let Δ_1 , Δ_2 be (possibly empty) subfamilies of CL(X). In what follows $\tau = \tau_{\Delta_1,\Delta_2}$ will stand for the weak topology on CL(X) generated by the family

$$\mathcal{R} = \left\{ D(\cdot, B) \, ; \ B \in \Delta_1 \right\} \cup \left\{ e(\cdot, B) \, ; \ B \in \Delta_2 \right\}$$

of gap and excess functionals (cf. [Be1]). If $\Delta_2 = \emptyset$, resp. $\Delta_2 = \Delta_1$ we obtain the topologies $\tau^G_{\Delta_1}$ and $\tau^{GE}_{\Delta_1}$ considered in [HLu2]. In particular, if $\Delta_1 = \Delta_2 = K(X)$ we obtain the so-called *finite Hausdorff topology* τ_{fH} ([Be1-2]), which is measurably compatible for a separable base space X.

For an arbitrary nonempty $A \subset X$ define

$$\begin{split} A^{++} &= \left\{ B \in CL(X) \, ; \ D(B, A^{c}) > 0 \right\} = \left\{ B \in CL(X) \, ; \ \exists \varepsilon > 0 \, : \ S(B, \varepsilon) \subset A \right\}, \\ A^{-} &= \left\{ B \in CL(X) \, ; \ A \cap B \neq \emptyset \right\}, \end{split}$$

BAIRE SPACES AND WEAK HYPERSPACE TOPOLOGIES

where A^c stands for the complement of A in X. The proximal hit-and-miss topology τ_{Δ}^{++} has as a subbase all sets of the form $(B^c)^{++}$, where B ranges over a fixed nonempty subfamily $\Delta \subset CL(X)$, together with all sets of the form U^- , where U is an arbitrary nonempty open subset of X (cf. [Be1]). We shall need the following subfamilies of CL(X):

$$\begin{split} B(\Delta_1) &= \left\{ B(A,\varepsilon) \, ; \ A \in \Delta_1 \, , \ \varepsilon > 0 \right\}, \\ S(\Delta_2) &= \left\{ \left(S(A,\varepsilon) \right)^c \, ; \ A \in \Delta_2 \, , \ \varepsilon > 0 \right\} \quad \text{and} \quad \Delta = B(\Delta_1) \cup S(\Delta_2) \, . \end{split}$$

We shall denote by $\Sigma(\Delta)$ the set of all finite unions of members of Δ . The symbol $cl(\mathcal{A})$ (resp. $cl_{++}(\mathcal{A})$) will stand for the closure of $\mathcal{A} \subset CL(X)$ in $(CL(X), \tau)$ (resp. $(CL(X), \tau_{\Delta}^{++})$).

A topological space Y is called *quasi-regular* provided each of its nonempty open subset contains a closed subset with nonempty interior. Following [FK], we shall adopt the informal definition of a *complete space*, i.e. a topological space that can be proved to be Baire by an argument similar to that of the Baire Category Theorem. Examples of such spaces are completely metrizable spaces, locally compact regular (Hausdorff) spaces, pseudo-complete spaces, Čech-complete spaces and (weakly) α -favorable spaces (see [AL], [HM], [Wh]).

A mapping f from a topological space X onto a topological space Y is said to be *feebly continuous* (*feebly open*) if $\inf f^{-1}(V) \neq \emptyset$ ($\inf f(U) \neq \emptyset$) for an arbitrary nonempty open $V \subset Y$ ($U \subset X$). A *feeble homeomorphism* is a feebly continuous feebly open bijection (cf. [HM]).

Main Results

LEMMA. Suppose that either Δ_1 or Δ_2 contains F(X). Then

(i) $\tau \subset \tau_{\Delta}^{++}$; (ii) for each $\emptyset \neq \mathbf{U} \in \tau_{\Delta}^{++}$ there exists $\emptyset \neq \mathbf{U}_0 \in \tau$ such that $\mathbf{U}_0 \subset \mathbf{U}$.

Proof.

(i): Observe that whenever $0 \leq \alpha < \beta$ and $A_s \in \Delta_s$ (s = 1, 2), then

$$D^{-1}(\cdot, A_1)(\alpha, \beta) = \left(\bigcup_{n=1}^{\infty} \left(\left(B\left(A_1, \alpha + \frac{1}{n}\right) \right)^c \right)^{++} \right) \cap \left(S(A_1, \beta) \right)^- \in \tau_{B(\Delta_1)}^{++} \subset \tau_{\Delta}^{++}$$

and, further,

$$e^{-1}(\cdot, A_2)(\alpha, \beta) = \left(\bigcup_{n=1}^{\infty} \left(S\left(A_2, \beta - \frac{1}{n}\right)\right)^{++}\right) \cap \left(\left(B(A_2, \alpha)\right)^c\right)^- \in \tau_{S(\Delta_2)}^{++} \subset \tau_{\Delta}^{++}.$$

(ii): Pick a nonempty $\mathbf{U} = \bigcap_{j \in J} (B_j^c)^{++} \cap \bigcap_{k \in K} (E_k^c)^{++} \cap \bigcap_{i \in I} U_i^- \in \tau_{\Delta}^{++}$, where $B_j = B(D_j, \varepsilon_j) \in B(\Delta_1)$ $(j \in J, J \text{ finite}), E_k = (S(F_k, \delta_k))^c \in S(\Delta_2)$ $(k \in K, K \text{ finite})$ and without loss of generality U_i are pairwise disjoint nonempty open subsets of X for all $i \in I$ (I finite). If $A_0 \in \mathbf{U}$ then $A_0 \cap U_i \neq \emptyset$ for each $i \in I$, further $D(A_0, B_j) > 0$ and $D(A_0, E_k) > 0$ for every $j \in J, k \in K$.

Accordingly, there exists $a_i \in A_0 \cap U_i$ with $S(a_i, \gamma) \subset U_i$ for some $\gamma > 0$, and, further, $d(a_i, D_j) > \varepsilon_j$ and $d(a_i, F_k) < \delta_k$ for all i, j, k. Put $A^* = \{a_i; i \in I\}$, $\varepsilon = \min_{j \in J} D(A^*, D_j)$ and $\delta = \max_{k \in K} e(A^*, F_k)$. Then $\varepsilon > \varepsilon_j$ for all $j \in J$ and $\delta < \delta_k$ for all $k \in K$.

Then we have $D^{-1}(\cdot, D_j)\left(\frac{\varepsilon+\varepsilon_j}{2}, +\infty\right) \subset (B_j^c)^{++}$, furthermore $e^{-1}(\cdot, F_k)\left[0, \frac{\delta+\delta_k}{2}\right) \subset \left(S\left(F_k, \frac{\delta+\delta_k}{2}\right)\right)^{++} \subset \left(S(F_k, \delta_k)\right)^{++} = (E_k^c)^{++}$ for all j, k. Define

$$\mathbf{W} = \begin{cases} \bigcap_{i \in I} D^{-1}(\cdot, \{a_i\})[0, \gamma) & \text{if } F(X) \subset \Delta_1, \\ e^{-1}(\cdot, A^*)[0, \gamma) \cap \bigcap_{i \in I} e^{-1}(\cdot, A^* \setminus \{a_i\})(\gamma, +\infty) & \text{if } F(X) \subset \Delta_2. \end{cases}$$

In both cases it follows that $\mathbf{W} \in \tau$, $A^* \in \mathbf{W}$ and $\mathbf{W} \subset \bigcap_{i \in I} U_i^-$. Consequently

$$\mathbf{U}_0 = \bigcap_{j \in J} D^{-1}(\cdot\,,D_j)\big(\tfrac{\varepsilon+\varepsilon_j}{2},+\infty\big) \cap \bigcap_{k \in K} e^{-1}(\cdot\,,F_k)\big[0,\tfrac{\delta+\delta_k}{2}\big) \cap \mathbf{W} \in \tau$$

and $\mathbf{U}_0 \subset \mathbf{U}$. Finally, observe that \mathbf{U}_0 is nonempty since it contains A^* . \Box **Remark.** In view of [Be1; Exercise 4.1.2.(a)] if Δ_1 contains the singletons then the Lemma holds.

THEOREM 1. Suppose that either Δ_1 or Δ_2 contains F(X). Then $(CL(X), \tau_{\Delta}^{++})$ is a quasi-regular space.

Proof. Pick any nonempty $\mathbf{U} \in \tau_{\Delta}^{++}$. Then, by the Lemma, there exists $\emptyset \neq \mathbf{U}_0 \in \tau$ with $\mathbf{U}_0 \subset \mathbf{U}$. Because τ is a weak topology, it is completely regular and, consequently $cl(\mathbf{U}_1) \subset \mathbf{U}_0$ for some $\emptyset \neq \mathbf{U}_1 \in \tau$. Finally, according to the Lemma, $\tau \subset \tau_{\Delta}^{++}$, so $\mathbf{U}_1 \in \tau_{\Delta}^{++}$. Further

$$\emptyset \neq \operatorname{cl}_{++}(\mathbf{U}_1) \subset \operatorname{cl}(\mathbf{U}_1) \subset \mathbf{U}_0 \subset \mathbf{U}\,.$$

THEOREM 2. Suppose that either Δ_1 or Δ_2 contains F(X). Then $(CL(X), \tau)$ is a Baire space if and only if $(CL(X), \tau_{\Delta}^{++})$ is.

Proof. By the Lemma, the identity mapping id: $(CL(X), \tau_{\Delta}^{++}) \rightarrow (CL(X), \tau)$ is a feeble homeomorphism and feeble homeomorphisms preserve Baire spaces (see [Ne]).

THEOREM 3. Suppose that either Δ_1 or Δ_2 contains F(X).

- (i) Suppose that both Δ₁ and Δ₂ are separable with respect to the induced Hausdorff metric H. If X is a Baire space then so is (CL(X), τ).
- (ii) If X is a complete space, then $(CL(X), \tau)$ is a Baire space.

Proof.

(i): According to our Theorem 1, Theorem 2 and [Zs1; Corollary 4.2] it suffices to prove that X is separable and there exist countable families $\Gamma_1 \subset B(\Delta_1)$ and $\Gamma_2 \subset S(\Delta_2)$ such that whenever $B = B_1 \cup B_2$, $B_1 \in B(\Delta_1)$, $B_2 \in S(\Delta_2)$ and $F \neq \emptyset$ is a finite subset of B^c , then there exists $D \in \Sigma(\Gamma_1 \cup \Gamma_2)$ with $B \subset D$ and $F \subset D^c$.

Let Δ'_s be a countable dense set in (Δ_s, H) (s = 1, 2). Then choosing a singleton from every member of $\Delta'_1 \cup \Delta'_2$ we get a countable subset of X, which, by our conditions on Δ_1 , Δ_2 , is also dense in X. Accordingly, X is separable.

Now define the following countable families:

$$\begin{split} \Gamma_1 &= \left\{ B(E,r) \, ; \ E \in \Delta'_1 \, , \ r \text{ is a positive rational} \right\}, \\ \Gamma_2 &= \left\{ \left(S(E,r) \right)^c ; \ E \in \Delta'_2 \, , \ r \text{ is a positive rational} \right\} \end{split}$$

Let $B = B(A_1, \varepsilon_1) \cup (S(A_2, \varepsilon_2))^c$ and $F \subset B^c$ be finite, where $A_s \in \Delta_s$ (s = 1, 2). Then $\delta_1 = D(F, A_1) - \varepsilon_1 > 0$ and $\delta_2 = \varepsilon_2 - e(F, A_2) > 0$, hence there exist sets $E_s \in \Delta'_s$ such that $H(A_s, E_s) < \frac{\delta_s}{4}$ for s = 1, 2. Choose positive rationals r_1, r_2 such that

$$\varepsilon_1 + \frac{\delta_1}{4} < r_1 < D(F, A_1) - \frac{\delta_1}{4} \qquad \text{and} \qquad e(F, A_2) + \frac{\delta_2}{4} < r_2 < \varepsilon_2 - \frac{\delta_2}{4} \,.$$

Take any $z \in B(A_1, \varepsilon_1)$. Then $d(z, E_1) \leq d(z, A_1) + H(A_1, E_1) < \varepsilon_1 + \frac{\delta_1}{4} < r_1$, so $z \in B(E_1, r_1)$ whence $B(A_1, \varepsilon_1) \subset B(E_1, r_1) \in \Gamma_1$. Moreover, $D(F, E_1) \geq D(F, A_1) - H(A_1, E_1) \geq D(F, A_1) - \frac{\delta_1}{4} > r_1$, thus $F \subset (B(E_1, r_1))^c$. A similar argument establishes that $(S(A_2, \varepsilon_2))^c \subset (S(E_2, r_2))^c \in \Gamma_2$ and $F \subset S(E_2, r_2)$. This means that for $D = B(E_1, r_1) \cup (S(E_2, r_2))^c \in \Sigma(\Gamma_1 \cup \Gamma_2)$ we have $B \subset D$ and $F \subset D^c$.

(ii): This follows directly from our Theorem 1, Theorem 2 and [Zs1; Theorem 4.3]. $\hfill \Box$

COROLLARY 1. Let (X, d) be a metric space. Suppose that $\Delta \subset CL(X)$ contains the singletons.

- (i) Suppose that Δ is separable with respect to the induced Hausdorff metric and X is a Baire space. Then (CL(X), τ_Δ^G) and (CL(X), τ_Δ^{GE}) are Baire spaces.
- (ii) If X is a complete space then $(CL(X), \tau_{\Delta}^G)$ and $(CL(X), \tau_{\Delta}^{GE})$ are Baire spaces.

COROLLARY 2. If X is a separable Baire metric space or a complete space, then $(CL(X), \tau_{fH})$ is a Baire space.

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BAIRE SPACES AND WEAK HYPERSPACE TOPOLOGIES

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